ANTIOCH UNIVERSITY
BOARD OF TRUSTEES MEETING
<u>OPEN SESSION MINUTES</u>
OCTOBER 14-16, 2004
YELLOW SPRINGS, OHIO

*Note: Minutes of the meetings conducted in **closed session are separate from open session** minutes to preserve the confidentiality of those deliberations and actions.*

<u>Thursday, October 14, 2004</u>

The Board Retreat took place at The Cox Arboretum. Minutes for the Retreat were recorded separately.

<u>Friday, October 15, 2004</u>

Today's meeting took place in the main auditorium of The Glen Helen Building.

<u>Trustees present</u>: Dan Kaplan/Chair, Vic Ayoub, Bruce Bedford/Treasurer, Fran Bond, Jim Craiglow/University Chancellor, Dan Fallon, John Feinberg, Dianne Brou Fraser, Everette Freeman, Reuben Harris, Jeff Kasch, Niels Lyster, Laura Markham, Jack Merselis, Peter Ostrander, Larry Stone, Paula Treichler, Barbara Winslow/Vice Chair, and Art Zucker/Secretary.

<u>Absent</u>: Amy Chappell, David Crippens, Sandra Deming, Sherwood Guernsey, Hal Joseph, Jordan Tamagni

<u>Others present</u>: Barbara Danley, President of Antioch University McGregor; Rick Jurasek, President of Antioch College; Bob Krinsky, chair Capital Campaign; Lois Mann, Vice Chancellor of Development and External Relations, and her assistant, Karen Ely; Toni Murdock, President of Antioch Seattle; Don Tecklenburg, University Comptroller; Peter Temes, President Antioch New England Graduate School; Glenn Watts, Vice Chancellor and Chief Financial Officer; David Weaver, University legal counsel; Leslie Johnson, Assistant Secy of the Board. Also present were members of the Community, including Dean of Faculty Ann Filemyr, Cheryl Keen, Andrzej Bloch, Michael Thorp, Mark Swanholm, Patty Rosely, Jimmy Williams, Kristen Muir, several students, and Dayton Daily News writer Mark Fisher.

7:30 – 9:00AM
Campaign Cabinet meeting.

Plenary Session
Call to order – 9:10AM

Dan opened the meeting with a review of the agenda, housekeeping items, and the introduction of the many guests in the room.

Chair's Report

In noting how sensitized the Board is to *negative* news, Dan emphasized the importance of "giving ourselves permission to celebrate all this *good* news," namely the $9M in gifts to the capital campaign received in the previous month.

Renewal Commission Plan Progress Report & Campaign Report.

Dan reported that the Renewal Commission met in September with members of the Implementation Task Force and the Campaign Cabinet in New York, and that there was good attendance and information sharing. The decision was made to combine the renewal and campaign reports at this meeting.

Key elements of the report included progress on implementing the plan and priorities of the plan (Rick Jurasek with Ann Filemyr), campaign progress (Lois Mann), and volunteer involvement (Bob Krinsky).

Rick Jurasek reported on the transformation within the system and the significant progress the twelve task teams are making, including engaging the faculty and students. "We have to invent this on our own," he said, referring to the work as pioneering and very bold. He explained that the transition process is being sped up (with a launch date of Fall 2005 and host communities in the Summer of 2006), due to the intersection of enrollment (which is down) and fund-raising ($9M raised in the past month). Rick encouraged everyone to see the Antioch College web-site for specifics on the various Task Teams. The next crucial stage involves significant investment in physical plant and the necessity of having the Board's buy-in and commitment on funding in order to succeed. (Handout: "Welcome to the Implementation of the Plan for Antioch College.")

Ann Filemyr reported on faculty involvement, that there are 41 faculty "on the go." In November, 10 faculty and 4 students will travel to Evergreen State to get a sense of how learning communities work there, with a second similar group visiting Evergreen in the Spring. The faculty are leading this effort with weekly meetings that revolve around a clear set of identified tasks ranked by priority. In addition to faculty, teams must include students, CG, staff, and administrators. Curriculum transformation is in progress, she said. The first **Learning Community** (LC) she described is "Embodied Minds/Thinking Bodies" which combines philosophy, dance, and biology. This LC is the furthest along in its development and has the most substance so far. Community Day, which occurs on campus October 27, will be used to celebrate the process and the "renewal" with special presentations and opportunities for feedback.

With regard to Host Communities, Ann said these would be in place by the Summer of '06. Since this is unprecedented, there is a lot of work to do in designing this part of the plan. It involves looking at where the jobs are, the diversity in economy, where the alums are, and where students are coming from.. The first five areas for host communities will be New York City, San Francisco Bay Area, Chicago, Boston, and Columbus. The next two will probably be Washington, D.C., and Austin or Albuquerque.

Trustees and ULC applauded Ann's presentation.

Rick spent some time talking about the physical plant and the necessary upgrades or new buildings in the plan. He pointed out that there were three teams reviewing the list of needs, and that at the February board meeting, he will present the master capital plan. His slides included one for the price tags on the renewal plan priorities over the next five years, with a total ranging from $29-36 million.

Lois Mann was asked to present the **Campaign Response to the Renewal Plan**. Lois reported that the Campaign Cabinet had reviewed the renewal plan and considered its impact on the campaign. As of September 20, gifts and pledges total $34.9M. For FY2005, $6.2M is needed for restructuring and implementation. This does not include gift income and property sales. A high priority is gathering resources to make implementation of the plan possible. Lois added that the plan for FY2005 gifts and pledges is $9.1M (including $1.7M annual fund). Therefore, we can expect the availability for FY2006 a rollover of $2.9M.

Bob Krinsky reported on "Where We Are Today," the current status. In organizing their priorities, the Campaign Cabinet is ready to begin educating prospects on the renewal plan, acknowledging that this will involve re-connecting with those prospects in some cases, and they are ready to match prospective donors to the needs of the Plan. He feels that they have secured excellent support from the top prospects but that they need adequate time to cultivate and solicit the next tier. In addition to College media and integrated marketing, alumni will need information and education through alumni events and personal visits. Bob emphasized the importance of prospects' understanding the Plan so they will want to invest in it. To succeed, he said, we need the maximum cooperation of the Trustees and the Alumni Board, we need to hire a College president and a VP for Development at the College, to stay focused on the campaign priorities and continue training new volunteers.

Barbara Winslow, with reference to the unanimous approval in June of the Renewal Commission's plan, reminded Trustees that they agreed to back up their approval with their financial support. To that end, she and Bruce have sent a letter to former trustees and campaign cabinet members asking them to extend their pledges.

Dan thanked everyone for their reports, expressing how impressed he is with the current status, that he could not have imagined a year ago that this is where we'd be today. He asked if there were any questions. Trustees took turns expressing their excitement, especially in response to Ann Filemyr's presentation. Art said "the Board should recognize the outstanding performance by both the Capital Campaign and the Antioch Plan implementation team to bring us to where we are today, that as a result of what was presented today there is now a great deal of optimism regarding the success of this plan. We should all recognize here the excellent work of Lois, Rick and Ann." (applause)

There was some discussion on how the transition will work for current students who stay on into the Fall of '05. Ann described the plan for covering courses for those students who choose not to enter into the new curriculum, acknowledging that there is a staffing issue and financial piece to this. She added that the input from the students has been very creative, including the idea to use new Antioch College graduates as mentors and teaching assistants.

Kristen Muir, community manager, was asked what the students' reaction is to the plan and the transition. She herself expressed optimism and enthusiasm, believing that the new curriculum will positively impact retention, but admitted that the reaction among students is mixed.

Jimmy Williams, one of the deans of students, encouraged the Board to support improvements to the physical plant, that tuition of $30K comes with an expectation on the part of the student and the student's parents as to how the dorms and other buildings should look. Visits to campus by prospective students means the all-important first impression which is as important as the curriculum, he said.

Ann encouraged everyone to attend Community Day on October 27, also to visit the web-site (http://www.antioch-college.edu/theplan/) and explore what the team leaders are doing. Dan then suggested that some of the Implementation team members come to the February meeting.

Trustees then took time to express their appreciation to the faculty and students present in the room for playing an extraordinary role in the renewal process.

At 10:30AM, Dan called for a 10-minute break.

At 10:45AM, the meeting resumed with Dan asking Jim for the **Chancellor's Report** (this report is filed with the Board meeting minutes).

Chancellor's Report. Jim focused on two themes: his view of what's on the horizon for Antioch and Antioch's multi-faceted ambassadorial roles. Jim highlighted the efforts of certain Trustees and ULC members in the Herculean task over the past year of the development of a plan for a transformed Antioch College. He said, "It is a creative and innovative model that has the potential to reshape baccalaureate education in this country. And it has elements of all of the positive and unique qualities that have been ascribed to Antioch throughout its distinguished history." Its development, implementation and nourishing processes will require much time and attention over the next several years, he added.

In looking to the future, he asked if there might be some areas we might examine to keep Antioch on the cutting edge of graduate and continuing education. He pointed out that everyone around the table is a part of the extended renewal commission, a sort of special task force charged with contributing ideas and suggesting structures for movement on an ongoing basis.

In looking toward the future, Jim said he reflects on societal trends and behaviors, also the lag time between public policy's response to those trends and behaviors, and how this provides opportunities for Antioch and its educational philosophy and expertise. Innovative approaches to this, he pointed out, would distinguish Antioch from most of its competitors.

Jim stressed that we need to be thinking beyond the immediate campus needs: Renewal and transformation must be a matter of fundamental practice and not triggered by crisis, he said.

As for Antioch's ambassadorial role, Jim referenced the editorial from the Keene "Sentinel" that Dan had mentioned earlier (acknowledging Antioch New England's 40th anniversary) which cited Antioch as having "broadened the definition of student and restructured its field well beyond the traditional boundaries of higher education."

Jim pointed out that this editorial could have been written about any Antioch campus and we need to celebrate that and recognize we are all ambassadors, he said. In recognizing the ULC's ambassadorial roles, Jim gave examples of the extra-curricular involvement of each campus president to illustrate the commitment to community, to regions, to professional endeavors and the depth of Antioch's "voice."

He concluded by saying we are right to worry about Antioch's visibility, impact and public image, but that it's important to counter some of that by making sure the ambassadorial role gets some mention. "Put faculty presentations, publications and consultations into the mix and the impact is perhaps more profound than what we acknowledge."

President Search Report
Jim reported on the status of the search and personnel changes to the search committee. In late September, the committee met with the search firm to discuss operating as a group, how to organize their work and their communications. The firm presented the committee with seven candidates – four who had already been identified by the committee in its initial round of work and three that the firm had generated.

Off-campus interviews of candidates will take place in the near future and these will determine who is invited to interview on the College Campus. There was discussion of other candidates in various stages of the pipeline and the committee has requested specific additional information on these. He said he was pleased with the status and with the search firm's thorough work.

At 11:15AM, Dan asked the presidents to give their reports, requesting they allow time for Q&A.

Presidents' Reports/Strategic Plans.
McGregor, Barbara Danley. (Handouts: "6 Ps of Planning," "Be the Difference" which shows various programs/degrees, and "Strategic Plan 2005-10"). Barbara reported on McGregor's growing enrollment and the plans for a new campus, a subject that would be covered in greater detail during the Physical Facilities meeting. She expressed her excitement for the future of McGregor.

Seattle, Toni Murdock. Toni announced that the Gates Foundation had approached AUS about going national with the Early College Program and has given the campus a $6M grant. The five focus states for this grant are CA, GA, NY, TX, and WA. She also reported an increase in FTEs and that they had to turn away 60 students from the new PsyD program. Seattle is looking to expand programs and square footage in the near future, and their BA Completion program is in the process of being reviewed (making it more aligned with their graduate programs. The Institutional Research Report requested by the Trustees is in its first draft (Toni brought copies); she noted that the second draft would be more sophisticated and concise.

At the conclusion of Toni's report, Barbara Winslow suggested that Rick Jurasek and Ann Filemyr consider using Seattle as a host community. She also requested that each campus president make her or Art aware of any campus events so Trustees might attend.

Antioch New England Graduate School, Peter Temes. Peter described the challenges of going through the strategic plan process. He hopes to build out the facility in the future as enrollment continues to increase, and described plans to convene a Board of Visitors which would include key people in the community, non-alums (people who have been on the campus and "get it"), and people outside the USA (for global connectedness). In the planning stages is a new PhD program in Marriage and Family Therapy. Peter also noted the fund-raising success of the Chevy Chase "Earth Day Auction" which was promoted on CNN and ABC's Good Morning America.

Dan K called for a break at Noon so everyone could get their lunch and then continue with reports once everyone was back from the buffet.

Southern California, LucyAnn Geiselman. LucyAnn reported that there are Boards of Visitors at both campuses now. AUSC has received a $500K grant from the Department of Education to be used for teaching teachers how to teach English as a second language) in a way that is culturally sensitive and highly effective. The campus has a new dean of university relations and a new alumni relations person at each campus, and a new interim CFO. LucyAnn expressed pride in their MFA in Writing program and is seeking possible ways to work with the creative writing faculty at the College.

Dan K asked her if there is a redundancy of offices in LA and Santa Barbara, and also how she manages her time between the two campuses. She responded that she spends each Thursday at the SB campus and that the dean of university relations divides her time equally between each campus. With regard to HR, she sees the need for a regional director and will be analyzing this situation later in October with the help of Naomi Nightingale & Associates.

Antioch College, Rick Jurasek. (Handout: "Executive Summary of the College Strategic Plan") Rick described the process that the College has before it as defining a new institution. He has hired a firm (Jan Krukowski & Company) to position the College. Antioch is unique, intentionally alternative, he said, but we need to have more clarity with and do better at the value-added element. Rick said he uses six words to summarize the strategic direction: Integrity, Sustainable, Linkage, Tell A Story.

Larry asked him what plans he has for marketing the College. Rick answered that plans are underway with the hiring of Krukowski's firm and that he'll be better able to respond at the February meeting.

The Admissions/Retention Report was given in **closed session.**

At 2:10PM, the meeting continued in **closed session** with a **Finance Committee** meeting of the whole.

Instead of discussing the College budget at this point, it was recommended this discussion take place on Saturday.

At 3:30PM the Finance Committee meeting was adjourned and the Board went to **open session.**

Committee meeting: Physical Facilities
This meeting took place as a committee of the whole. Peter Ostrander distributed the minutes from the September 13, 2004, conference call with the Physical Facilities committee.

Also distributed was a 5-page FAQ sheet on the new McGregor campus along with a 6th page for bond calculations.

At this point, Barbara Danley invited her guests from the Yellow Springs Village Community Improvement Corp ("CIC") and Village Council into the room where introductions took place. She reviewed the task force assignment which came out of the June meeting which was to oversee the due diligence and design work for the "Campus West" project and to prepare a plan and construction timetable for presentation at this meeting.

Dan Young, owner of Young's Dairy and member of the CIC, spoke about integrating the CIC's development vision and McGregor's goals with the end result something the community can be proud of. In essence, the CIC is providing McGregor with 10 acres at no cost to McGregor, and the community of Yellow Springs is behind the project. He emphasized that it's not just about McGregor but also about reconnecting the Village with the College and University as well.

Next, the architects spoke about the design of the new 64,000-square foot campus, that among the elements they have in mind is that the building and grounds should have a retreat-like quality since the campus is primarily for adult learners, for group/team-working. The goal for the building is to reflect McGregor's mission and for it to be a cutting-edge facility that becomes "the gateway to the Village." A short film showed the architects' vision of the campus, the walkways, an amphitheater, a water feature, and a library which serves as the core of the structure.

A slide presentation covered the various options, assumptions and pro forma.

Richard Lapedes, past Antioch Trustee and current McGregor BoV member, estimated the amount of money that could be raised at $3M, saying that while most of the donors have no compelling reason to give to Antioch College they would give to a project of this sort to enhance the Miami Valley.

Dan K thanked the presenters, then asked Dan Young for more information on how the proposal would work. Dan Y explained that the CIC is a non-profit that can serve as a vehicle to access funds to build a facility and then lease it. "The [state and/or county] bonding authorities lend us the money to build then we lease it for the number of years that match the bond."

There was a brief discussion about financing alternatives. David Weaver read the resolution (10.16.04:13). There was also discussion about the possibility of sharing space with the College. Barbara Danley said that this had been considered and that during the day, when classroom space is available, it could be used by the College. Studies are on-going relative to transportation connecting the downtown, the College campus, and McGregor West. Also being considered is a performing arts space and a reading room as part of the library. Bruce Bedford inquired as to the flexibility in the structure for future expansions and the use of minority contractors. The response was that the plan is flexible and that speaking with minority contractors is a valid next step. The architects also noted that the zoning would allow for different uses including some income-producing uses (renting the space for conferences, etc.).

At 4:35PM the Board went into **closed session** with the ULC.

Barbara Winslow suggested the Board reconvene in closed session at 7:30AM on Saturday, with the previously established agenda to begin at 8:30AM.

The meeting was adjourned at 5:05PM. At 5:30PM, the Executive Committee met with the McGregor Board of Visitors at the Dayton Racquet Club. At 6:30PM, the Trustees, ULC, and McGregor Board of Visitors gathered for dinner. Lois Mann and Glenn Watts' careers and achievements at Antioch were recognized by Dan Kaplan, who read aloud their certificates of appreciation (Resolutions 16 and 17), and also by Jim Craiglow, Bruce Bedford, and Bob Krinsky who offered well wishes and toasts. Lois and Glenn took the opportunity to respond.

Saturday, October 16, 2004

Trustees present: Dan Kaplan/Chair, Vic Ayoub, Bruce Bedford/Treasurer, Fran Bond, Jim Craiglow/University Chancellor, Dan Fallon, John Feinberg, Dianne Brou Fraser, Everette Freeman, Sherwood Guernsey, Reuben Harris, Niels Lyster, Laura Markham, Jack Merselis, Peter Ostrander, Larry Stone, Paula Treichler, Barbara Winslow/Vice Chair, and Art Zucker/Secretary.

Absent: Amy Chappell, David Crippens, Sandra Deming, Hal Joseph, and Jordan Tamagni

Others present: Barbara Danley, President of Antioch University McGregor; Rick Jurasek, President of Antioch College; Lois Mann, Vice Chancellor of Development and External Relations, and her assistant, Karen Ely; Toni Murdock, President of Antioch Seattle; Don Tecklenburg, University Comptroller; Peter Temes, President Antioch New England Graduate School; Glenn Watts, Vice Chancellor and Chief Financial Officer; David Weaver, University legal counsel; Leslie Johnson, Assistant Secy of the Board.

At 7:30AM, the Board of Trustees met in **closed session** at which the following resolution was unanimously adopted.

RESOLUTION 10.16.04:19 (B. Winslow/D. Fallon)

RESOVED, that the Executive Committee is hereby requested to meet as soon as practical, with or without the Chancellor in attendance as it deems best, to review in detail the concerns which were raised at this meeting regarding the Chancellor's job performance.

RESOLVED FURTHER, that the Executive Committee is hereby directed to call a special meeting of the Board, to be held on or before November 15, 2004, and to be conducted by audio and/or video conferencing communication equipment, for the purpose of making a report and recommendation to the Board on how best to constructively address the Board's concerns regarding the Chancellor's job performance.

Passed by unanimous voice vote.

Call to order.
The plenary session was called to order at 8:45AM and was closed.

Dan K reviewed the Consent Agenda process. The resolution pertaining to the Conflict of Interest (10.16.04:6) was removed from the consent agenda as only a few of the forms had been returned. This resolution will be brought before the Board at the February meeting. The resolution pertaining to the audit (previously numbered 10.16.04:5) was approved during Friday's Finance Committee meeting as 10.15.04:1. The following resolutions (1-4 and 7-8) on the consent agenda were moved by B. Winslow and seconded by B. Bedford.

RESOLUTION 10.16.04:1

RESOLVED, that the Board hereby approves the minutes from the June 3-5, 2004, Board of Trustees meeting held in Seattle, Washington.

RESOLUTION 10.16.04:2

RESOLVED, that the Board of Trustees of Antioch University hereby ratifies and confirms the actions of the Executive Committee as reported in the minutes of the conference calls held on August 4, August 9, and September 17, 2004.

RESOLUTION 10.16.04:3

RESOLVED, that pursuant to Resolution 10.23.03:4, and upon the recommendation of the Chancellor of Antioch University and the President of Antioch College, the Board of Trustees hereby appoints David Goodwin to serve as Chair of the Glen Helen Board of Overseers for the year ending June 30, 2005.

RESOLUTION 10.16.04:4

RESOLVED, that pursuant to Resolution 10.23.93:18, and upon the recommendation of the Chancellor of Antioch University, the Board of Trustees hereby appoints Bonnie Parish to serve as Chair of the WYSO Resource Board for the year ending June 30, 2005.

RESOLUTION 10.16.04:7

RESOLVED, that pursuant to Section 8.1.1 of the By-laws of Antioch University, Section 4.1.3 of said By-laws is hereby amended by the addition of a new subsection (7) reading as follows, effective immediately.

> The Communications Committee shall review the exchange of information between Antioch University and its multiple constituencies and, as needed, shall suggest ways to ensure effective communications regarding matters of mutual interest and concern among the University Board of Trustees, the ULC, and the larger Antioch community. The Committee shall consider and may recommend for approval by the Board of Trustees any public relations and marketing campaigns on behalf of the University.

RESOLUTION 10.16.04:8

RESOLVED, that upon the recommendation of the Academic Affairs Committee, the Policy for the Award of Honorary Degrees presented at this meeting is hereby approved, effective immediately.

RESOLVED FURTHER, that all prior policies of the Board of Trustees pertaining to honorary degrees (including but not limited to the policy adopted pursuant to Resolution 12.23.98:3) are superseded and replaced by the policy adopted by the foregoing resolution.

The consent agenda passed by unanimous voice vote.

Finance Committee Report. Bruce asked Glenn Watts to provide background on paying off existing bonds. Glenn reported that the interest rate on the old bond is 7.875% and that they can now be reissued at an all-inclusive rate of about 5%. In general, he explained, as we pay down the existing debt we create more debt capacity. The following resolution was presented for approval.

RESOLUTION 10.16.04:15 (B. Bedford/F. Bond)

WHEREAS, on April 1, 1993, Antioch University (the "University") issued $5.53 million in revenue bonds through the New Hampshire Higher Educational and Health Facilities Authority to purchase and renovate the building that became the Antioch New England Campus (the "Initial Bonds"); and

WHEREAS, the Initial Bonds bear interest at 7.875% and may be called on or after December 1, 2004, through November 30, 2005, at a 1% premium; and

WHEREAS, refunding the Initial Bonds and refinancing them under current market conditions will save the University considerable sums of money over the remaining term of the original bond issue; and

WHEREAS, upon the recommendation of the Vice Chancellor and CFO, the Board wishes to approve the refunding and refinancing of the Initial Bonds and to delegate to the Finance Committee the authority to take any and all actions on behalf of the University as may be needed to effectuate refunding and refinancing of said bonds.

BE IT THEREFORE RESOLVED, that the Board hereby authorizes and approves the incurrence of indebtedness and the participation in all transactions on behalf of the University relating to the refunding and refinancing of the Initial Bonds through the issuance, sale and delivery by the New Hampshire Higher Educational and Health Facilities Authority in a principal amount not to exceed $6.0 million with variable interest rates to refinance and refund the Initial Bonds (the "Antioch New England Bond Refinancing"), provided that such indebtedness shall not violate the terms or covenants of any current bond or other financial obligation of Antioch University.

RESOLVED FURTHER, that the Finance Committee is duly authorized and is hereby delegated the power to approve the form, terms, and provisions of all documents, certificates, and offering materials to be entered into in connection with the Antioch New England Bond Refinancing, subject to the parameters as to the maximum principal amount as set forth above; and

RESOLVED FURTHER, that the Finance Committee is duly authorized and is hereby delegated the power and authority to designate appropriate officers of the University to execute and deliver all documents, contracts, certificates and offering materials as may be necessary or appropriate in connection with the Antioch New England Bond Refinancing; and

RESOLVED FURTHER, that the Finance Committee is duly authorized and is hereby delegated the power to adopt all resolutions on behalf of the University necessary and desirable in connection with the issuance, sale, and delivery of the Antioch New England Bond Refinancing and is hereby authorized and directed to oversee and approve on behalf of the Board any and all other actions as may be necessary or appropriate in connection with the project.

Passed by unanimous voice vote.

Development Committee Report. The following gift resolutions were duly acknowledged.

RESOLUTION 10.16.04:10 (B. Winslow/J. Kasch)

RESOLVED, that the following new endowment gifts received from May1, 2004, through August 31, 2004, are gratefully accepted and acknowledged by the Antioch University Board of Trustees:

RESOLUTION 10.16.04:9 (B. Winslow/Z. Zucker)

RESOLVED, that the following new non-endowed gifts and pledges received from May 1, 2004, through August 31, 2004, of $50,000 or more are gratefully accepted and acknowledged by the Antioch University Board of Trustees:

Donor	Amount	Restriction / Type	Date
[redacted]	$50,000.00	Antioch College Annual Fund	5/04
[redacted]	$50,000.00	Antioch College Campaign Unrestricted	5/04
Donor	**Amount**	**Restriction / Type**	**Date**
[redacted]	$150,000.00	Antioch College Campaign Conservation Plan	6/04
[redacted]	$100,000.00	Antioch College Campaign Unrestricted	6/04
[redacted]	$100,000.00	Antioch University Alan E. Guskin Projects	7/04
[redacted]	$60,000.00	Antioch University Project on the Future of Higher Education	7/04
[redacted]	$132,035.25	ANE Center for Environmental Education Online (CEEonline) *(came as one gift, but was the total raised from the auction where there were many donors)*	6/04
[redacted]	$50,000	Antioch University Southern California President's Inauguration and Open House	5/04

Passed by unanimous voice vote.

At 10:15AM, Rick was asked to present the **College budget** (see handout Renewal Commission Financial Report – FY 2005). He said that the College had produced a balanced budget and will be able to carry over nearly $3M cash to next year. There was some discussion after which the following resolution was considered.

RESOLUTION 10.16.04:14 (B. Bedford/B. Winslow)

RESOLVED, that upon the recommendation of the Vice Chancellor and CFO and the Interim President of the College, the Board of Trustees hereby approves the revised operating budget for the 2004-05 fiscal year, adjusting revenue projections and reflecting initial implementation of the College Renewal Commission recommendations (as described in Glenn Watts' "Financial Snapshot" memorandum to the Board of Trustees dated August 30, 2004), and as additionally modified to reflect increased revenue from gifts and bequests to offset the shortfall in net tuition income as presented at this meeting.

Passed by unanimous voice vote.

Jack Merselis asked Rick about attrition at the College. Rick responded that the Implementation Team includes a task force assigned to enrollment management. The non-mandatory class, Antioch 101, has proven effective in lowering attrition. Those who took the class persisted at a rate of 66% while those who did not take it persisted at a rate of 47%.

Dan then asked Dan Fallon for the mission and vision resolution that resulted from the retreat. The following resolution was distributed for consideration.

<u>RESOLUTION 10.16.04:18 (D. Fallon/A. Zucker)</u>

WHEREAS, the Board of Trustees has determined that the mission of Antioch University is not sufficiently focused to provide clear direction to academic managers nor coherent information to external audiences,

BE IT, THEREFORE, RESOLVED that the Board of Trustees authorizes the Chair of the Board to appoint members of a working group to prepare a vision statement and mission statement for consideration by the Board. The mission working group (MWG) is charged as follows:

1. To develop (a) a "vision statement," and (b) a "mission statement," that are sufficiently informational and functional to provide direction and purpose for each academic institutional component of Antioch University, and to guide strategic planning within the University;

2. To prepare any other statements or material it requires to develop and present the vision statement and mission statement;

3. To seek faculty and other academic community advice and counsel, through the presidents or other executive officers, at the beginning of this process and continuing throughout its work;

4. To test throughout the University the validity of the five "foundational commitments" to the Antioch idea, as enunciated by the Renewal Commission, and to develop the vision statement and the mission statement upon foundational commitments of the Antioch idea that can apply universally throughout the University, capturing for the University its unique character and role in American higher education;

5. To deliver to the Board a final report by the February 2005 meeting.

Passed by unanimous voice vote.

At 10:40AM the Board and ULC went into **closed session.**

Academic Affairs Committee Report. Dan Fallon reported on the committee's meeting held via conference call in September when the AUSC PsyD program proposal was reviewed. "We're recommending approval of this program," he said, at which time the following resolution was moved and seconded.

<u>RESOLUTION 10.16.04:12 (D. Fallon/J. Feinberg)</u>

RESOLVED, that upon recommendation of the Chancellor, the University Leadership Council, and the Academic Affairs Committee, the Board of Trustees hereby approves the establishment of the degree of doctor of psychology (Psy.D.) as described in the proposal dated August 2004 and as reviewed at this meeting.

BE IT FURTHER RESOLVED, that the Chancellor, in consultation with the President of Antioch University Southern California, determines how best to implement the program at Antioch University Southern California.

BE IT FINALLY RESOLVED, that the Chancellor, in consultation with the President of Antioch University Southern California, is hereby authorized and directed to take any and all other actions as may be needed to implement the Psy.D. program at Antioch University Southern California.

Passed by unanimous voice vote.

The **Trusteeship Committee Report** took place in **closed session**.

The discussion then turned to the subject of the G. Stanley Hall Hall and property. Vic Ayoub, expressing concerns for the property of a PR nature and also being sympathetic to the sentimental attachment the community has to the property, moved that the Board rescind the rezoning request currently pending before the Yellow Springs Village Council. Everette Freeman seconded the motion. Glenn Watts described the decrepit condition of the building. He explained that re-zoning the property from "educational" to "residential" actually increases the value of the property, that the Board has not yet voted to sell it, and that Antioch could perhaps meet the interests of the Antioch School which would satisfy the community. Vic and Everette voted in favor of rescinding the rezoning request; all others voted against the motion, therefore the motion failed.

The following resolutions honoring Lois Mann and Glenn Watts, respectively, had been read aloud at the Friday evening dinner.

RESOLUTION 10.16.04:16 (B. Winslow/A. Zucker)

WHEREAS, Lois Anton Mann came to Antioch College in July 1992 as Director of Development, Capital Projects, bringing to this new position years of fund-raising experience and organizational skills; and

WHEREAS, one year later, Lois was appointed Vice President of Development and Alumni Affairs at the College bringing the College's first capital campaign to a successful conclusion; and

WHEREAS, after four years of successfully identifying, cultivating and securing major gifts exclusively from College alumni, in 1996 she was appointed Vice Chancellor for Development and External Relations for Antioch University, quickly developing a deep commitment for the University as a whole and traveling throughout the system working with the campus leadership to help establish their boards of visitors and development operations; and

WHEREAS, she has worked tirelessly to oversee both the Development and Trusteeship Committees, with many of her donor cultivations resulting in Trustee appointments, always setting a professional and collaborative tone in her interactions with Trustees as well as with the University Leadership Council on which she has served as a member; and

WHEREAS, a call from Lois generally means only one thing – breaking the Antioch tradition by actually *asking for money* – she has executed her fund-raising skills with stealth, poise, humility and sometimes humor, but always making sure the donor felt well taken care of, appreciated and, above all, confident that their gift would make a difference; and

WHEREAS, she is a driving force – and a frequent flyer – in the current Campaign for Antioch College, *Making Change Happen*, serving as its Director; and her long-standing relationships with major donors of the College have resulted in helping secure, not only for this campaign, but also the annual fund drives and the previous campaign, more than $100,000,000; Lois Anton Mann deserves tremendous credit for helping to raise more money than Antioch has ever raised before in its history; and

WHEREAS, Lois' taste for the good life includes a passion for the arts, especially opera and specifically Placido Domingo, which is matched only by her longstanding reputation for looking as though she just stepped out of *Vogue Magazine*, putting the rest of us to shame in our shabby chic; and

WHEREAS, Lois has always shown up at meetings looking a little brighter than the rest of us because she got a good night's sleep thanks to her knack for finding the better, quieter hotel; and

WHEREAS, Lois has brought to southwest Ohio her marvelous sense of humor, a love of dogs, cinema and reading, and an accent that sets her "a-patt."

NOW, THEREFORE, BE IT RESOLVED, that on this day, October 15, 2004, the Board of Trustees and Antioch University extend their deepest appreciation to Lois Anton Mann for her dedicated service and commitment to this institution.

Passed by unanimous voice vote.

RESOLUTION 10.16.04:17 (B. Winslow/A. Zucker)

WHEREAS, Glenn Watts has served Antioch University as Vice Chancellor and CFO, Vice President of the Corporation, member of the University Leadership Council and even a brief stint as Acting Chancellor since 1996; and

WHEREAS, Glenn has provided financial expertise to each campus, financial clarity and integrity to the University, and an extraordinary professional knowledge base to various components and operational areas of the institution, all giving rise to a "Renaissance Man" label; and

WHEREAS, he has served as CFO for Antioch College and Antioch University McGregor as well as a member of the President's Staff Group, chair of GreenCil, and chief University liaison for WYSO; and

WHEREAS, Glenn has brought a steady, clear approach to financial, personnel, technological, legal, financial aid, and physical plant matters, and provided direction and leadership to the Finance Committee of the Antioch University Board of Trustees; and

WHEREAS, his organizational skills, appreciation for details and timetables, and his personal qualities of professionalism, integrity, graciousness, and unyielding engagement have consistently served the University in ways that went far beyond the ordinary; and

WHEREAS, more often than not you could reach Glenn by phone in his office on a Sunday afternoon; and

WHEREAS, Glenn provided vital and critical linkages between the University and the Village of Yellow Springs by sharing his skills through direct participation in numerous Village activities; and

WHEREAS, along with his partner Jane Watts, he has traveled the continents, experienced other cultures and, quite literally, stood head and shoulders above the populace of many of the countries he has visited; and

WHEREAS, he has been a friend and colleague to each member of the ULC and each Chancellor, and, in particular, offering his home, hospitality and support to Jim Craiglow, the current Chancellor;

BE IT NOW THEREFORE RESOLVED that the Board of Trustees and all of Antioch University extends to Glenn Watts its profound thanks and deepest appreciation for his years of service to Antioch University, accompanied by best wishes for the future.

Passed by unanimous voice vote.

There was a break at Noon for everyone to get a box lunch and then, before the Trustees went into **closed session** without the ULC, Dan took the opportunity to express his appreciation to the campus presidents.

The meeting was adjourned at 1:00PM. Several Trustees remained for the 1:30-3:00PM informal session with College faculty, students, and staff.

These minutes respectfully submitted by,

Leslie B. Johnson

Leslie Bates Johnson
Assistant Secretary

Arthur J. Zucker

Arthur J. Zucker
Secretary